IAP7 Rec'd PCT/PTO 1 0 JANO 381 (Rev. 02-2005)
Approved for use through 3/31/2007, OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER NL 030826

INTERNATIONAL APPLICATION NO. JUNE 6, 2004 July 14, 2003  TITLE OF INVENTION  ULTRA COMPACT ILLUMINATION SYSTEM FOR DISPLAY SYSTEMS  APPLICANT(S) FOR DO/EO/US  Adrianus Johannes Stephanes Maria DE VAAN  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  1.						
TITLE OF INVENTION ULTRA COMPACT ILLUMINATION SYSTEM FOR DISPLAY SYSTEMS  APPLICANT(S) FOR DO/EO/US Adrianus Johannes Stephanes Maria DE VAAN  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  1.						
APPLICANT(S) FOR DO/EO/US Adrianus Johannes Stephanes Maria DE VAAN  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  1.						
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  1.						
1.  This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.  2.  This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.  3.  This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.  4.  The US has been elected (Article 31).  5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))  a.  is attached hereto (required only if not communicated by the International Bureau).  b.  has been communicated by the International Bureau.  c.  is not required, as the application was filed in the United States Receiving Office (RO/US).  6.  An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  a.  is attached hereto.  b.  has been previously submitted under 35 U.S.C. 154(d)(4).						
This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.  This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.  The US has been elected (Article 31).  A copy of the International Application as filed (35 U.S.C. 371(c)(2))  a. □ is attached hereto (required only if not communicated by the International Bureau).  b. ☑ has been communicated by the International Bureau.  c. □ is not required, as the application was filed in the United States Receiving Office (RO/US).  An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  a. □ is attached hereto.  b. □ has been previously submitted under 35 U.S.C. 154(d)(4).						
<ul> <li>This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>The US has been elected (Article 31).</li> <li>A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul> <li>is attached hereto (required only if not communicated by the International Bureau).</li> <li>is attached hereto (required only if not communicated by the International Bureau).</li> <li>is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul> </li> <li>An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ul> <li>is attached hereto.</li> <li>has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul> </li> </ul>						
(5), (6), (9) and (21) indicated below.  4.  The US has been elected (Article 31).  5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))  a.  is attached hereto (required only if not communicated by the International Bureau).  b.  has been communicated by the International Bureau.  c.  is not required, as the application was filed in the United States Receiving Office (RO/US).  An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  a.  is attached hereto.  b.  has been previously submitted under 35 U.S.C. 154(d)(4).						
5.						
a. ☐ is attached hereto (required only if not communicated by the International Bureau).  b. ☑ has been communicated by the International Bureau.  c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).  An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  a. ☐ is attached hereto.  b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).						
<ul> <li>b. ✓ has been communicated by the International Bureau.</li> <li>c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).</li> <li>6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</li> <li>a. ☐ is attached hereto.</li> <li>b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul>						
c. is not required, as the application was filed in the United States Receiving Office (RO/US).  An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  a. is attached hereto.  b. has been previously submitted under 35 U.S.C. 154(d)(4).						
An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  a. is attached hereto.  b. has been previously submitted under 35 U.S.C. 154(d)(4).						
a. is attached hereto.  b. has been previously submitted under 35 U.S.C. 154(d)(4).						
b. has been previously submitted under 35 U.S.C. 154(d)(4).						
I 7 I ▼ I Amendments to the claims of the International Application under DCT Article 10 (36 H S C - 271/c)(3)\						
a. are attached hereto (required only if not communicated by the International Bureau).						
b. — have been communicated by the International Bureau.						
<ul> <li>c.  have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d.  have not been made and will not be made.</li> </ul>						
<ul> <li>An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> </ul>						
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT						
Article 36 (35 U.S.C. 371(c)(5)).						
Items 11 to 20 below concern document(s) or information included:						
11 An Information Disclosure Statement under 37 CFR 1.97 and 1.98.						
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.						
13. A preliminary amendment.						
14. An Application Data Sheet under 37 CFR 1.76.						
15. A substitute specification.						
16. ✓ A power of attorney and/or change of address letter.						
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.						
18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).  Express Mail Certificate; PTO/SB/96; Charge Authorization; Receipt Confirmation Postcard  Other items or information:						

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the complete form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

## IAP20 RGS'& POTTFTO 10 JAN 2006

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	10N NO. (if known 15642	n, see 37 CFR 1.5)	PCT/IB2004/051136	INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUM PCT/IB2004/051136 NL 030826		CKET NUMBER
	owing fees have b	<u> </u>			CALCULATIONS	PTO USE ONLY
21. 📝 Basi	c national fee	•••••		\$300	\$ 300.00	
If International property PCT Article 33	nination fee reliminary examina (1)-(4)	\$ 200.00				
Search fee (37 C Internatio International Sea	rch fee FR 1.445(a)(2)) h nal Searching Aut rch Report prepar is	\$ 400.00				
* 1 cc - 1 c	TOTAL OF 21, 2	\$ 900.00				
sequence lis	e for specification sting or computer 250 for each addit					
Total Sheets	Extra Sheets		additional 50 or fraction ip to a whole number)	RATE		
- 100 =	/50 =			x \$250	\$	
	0.00 for furnishing ate (37 CFR 1.49	om the earliest	\$			
CLAIMS	NUME	BER FILED	NUMBER EXTRA	RATE	\$	
Total claims	12	- 20 =		× \$ 50	\$ 0.00	
Independent clair	ms 1	- 3 =		x \$200	\$ 0.00	
MULTIPLE DEP	ENDENT CLAIM(	S) (if applicable)		+ \$360	\$	
	\$ 0.00					
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						
		\$ 900.00				
	f <b>\$130.00</b> for furni late (37 CFR 1.49	\$				
		\$ 900.00				
	the enclosed ass e cover sheet (37	\$ 40.00				
		\$ 940.00				
		Amount to be refunded:	\$			
					Amount to be charged:	\$ 940.00
a. A check in the amount of \$ to cover the above fees is enclosed.						
b. Please charge my Deposit Account No. 14-1270 in the amount of \$ 940.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1270 . A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the international Application to pending status.						
]	RESPONDENCE	••		Laan		
Corporate Patent Counsel SIGNATURE						
Robert J. )						
P O Box 3001						
26,338					ON NUMBER	<del></del>
Briarcliff Manor, NY 10510 REGISTRAT					ON NOWREK	

## IAP20 Res'd PST/PTO 10 JAN 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

DE VAAN

NL 030826

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

ULTRA COMPACT ILLUMINATION SYSTEM FOR DISPLAY SYSTEMS

[X] Express Mail Mailing		FICATE OF EXPRESS MAILING
	Label NO.	EV 746348357-US
Date of Deposit:		1-10-06
Service "Express Mail Po	st Office to	or fee is being deposited with the United States Postal Addressee" service under 37 C.F.R. 1.10 on the date the Commissioner for Patents, PO Box, 1450, Alexandria,
<u>Jeanne Rusciano</u> Typed Name	Signature	Jeanne Lusciano